



Annual ISMS Management Evaluation Recommended Strategy

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Overview

- Background/lessons learned
- Value of annual ISMS management evaluation
- Recommendations/Elements
- Path forward
- Summary

Background/Lessons Learned

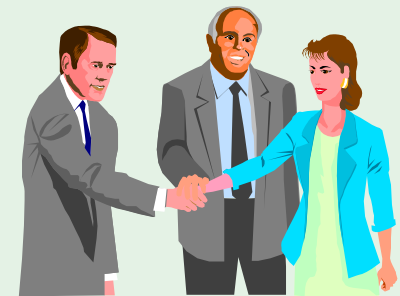
- Two different approaches used in past
- Problems associated with approach to previous reports
 - Limited in scope/focus
 - Too subjective; Force-fit performance data into ISM functions
 - No ownership for correction actions
 - Limited data review and analysis
 - Lacked firm conclusions and path forward
 - Lacked integration with on-going ISM site activities



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Team Purpose And Membership

- SRS team was established to provide a process to perform an ISMS management evaluation which is value added, meets the customers expectations.
- Team solicited DOE-SR, Standards and Regulations Department review and comments prior to issuing final white paper.



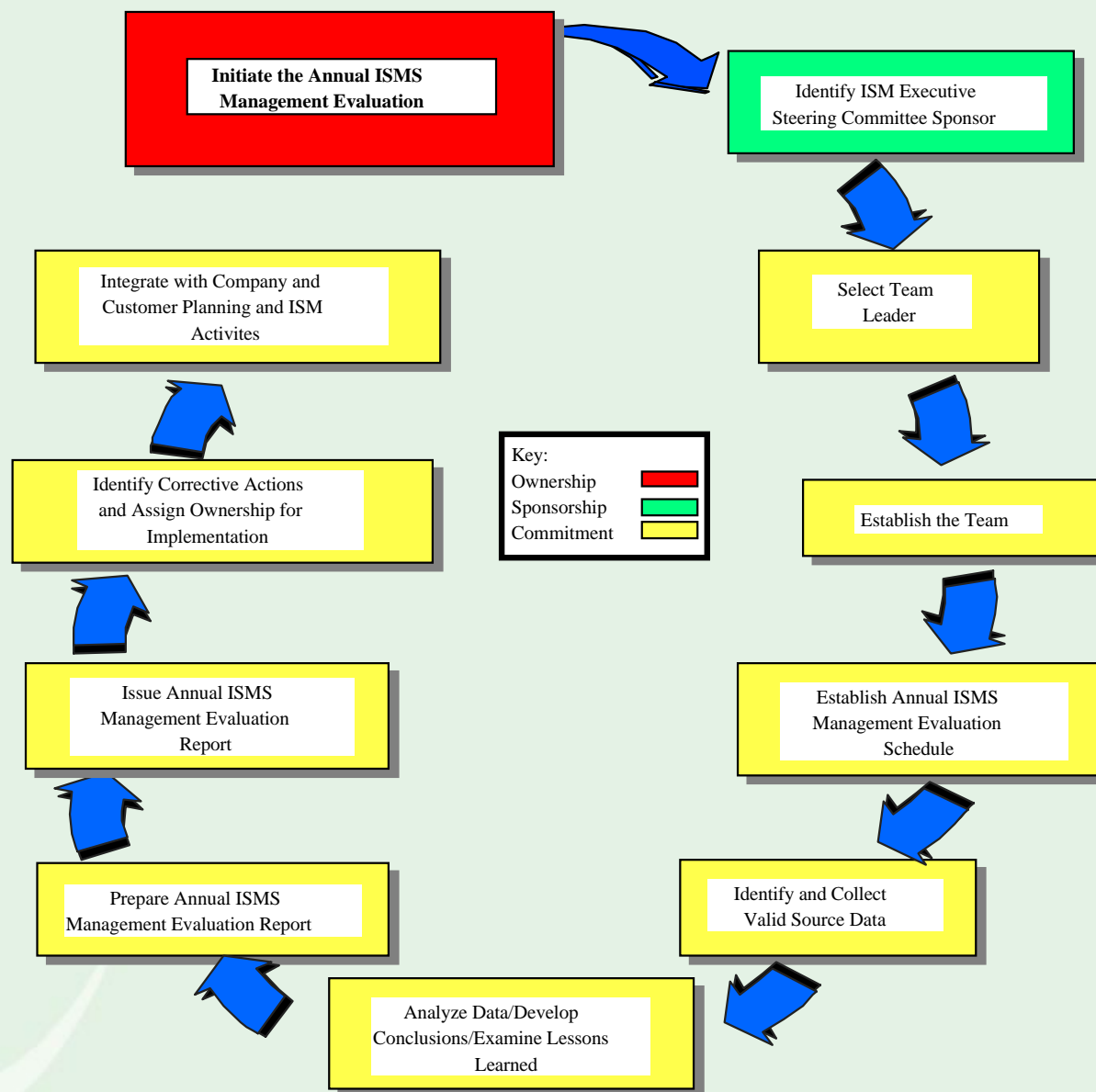
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Value Of Annual ISMS Management Evaluation

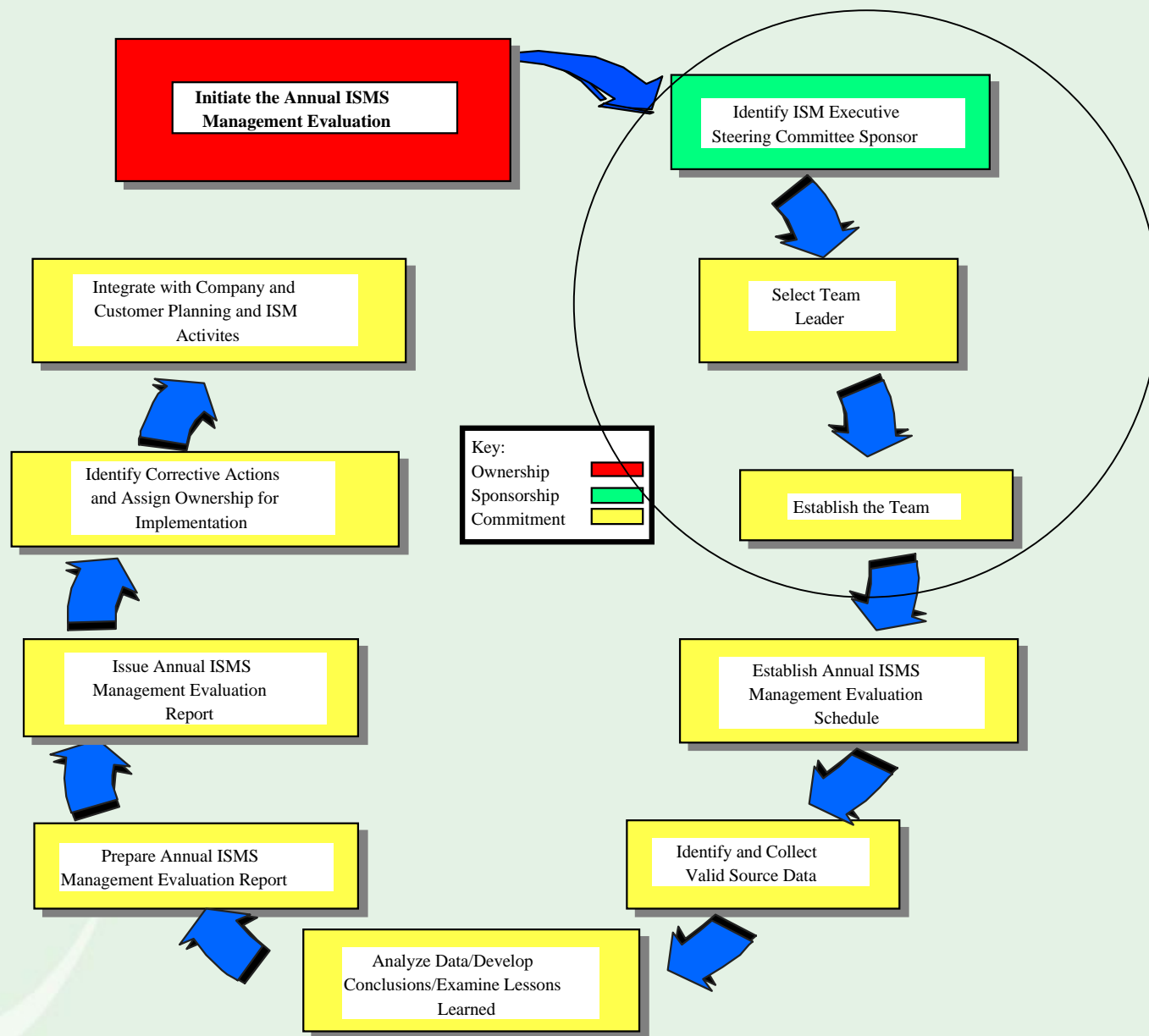
■ Value added

- Provides overall measure of “effectiveness” of ISMS
- Provides an integrated macro perspective of company performance
- Allows for refinement & improvement of performance indicators
- Identifies focused target areas for improvement
- Facilitates determination of priorities & allocation of resources to critical issues
- Provides focused input for strategic planning processes
- Strengthens implementation of DOE policy 450.5 “line oversight of ES&H”
- Captures strengths and improvement opportunities for lessons learned sharing

Detailed Program Elements



Detailed Program Elements

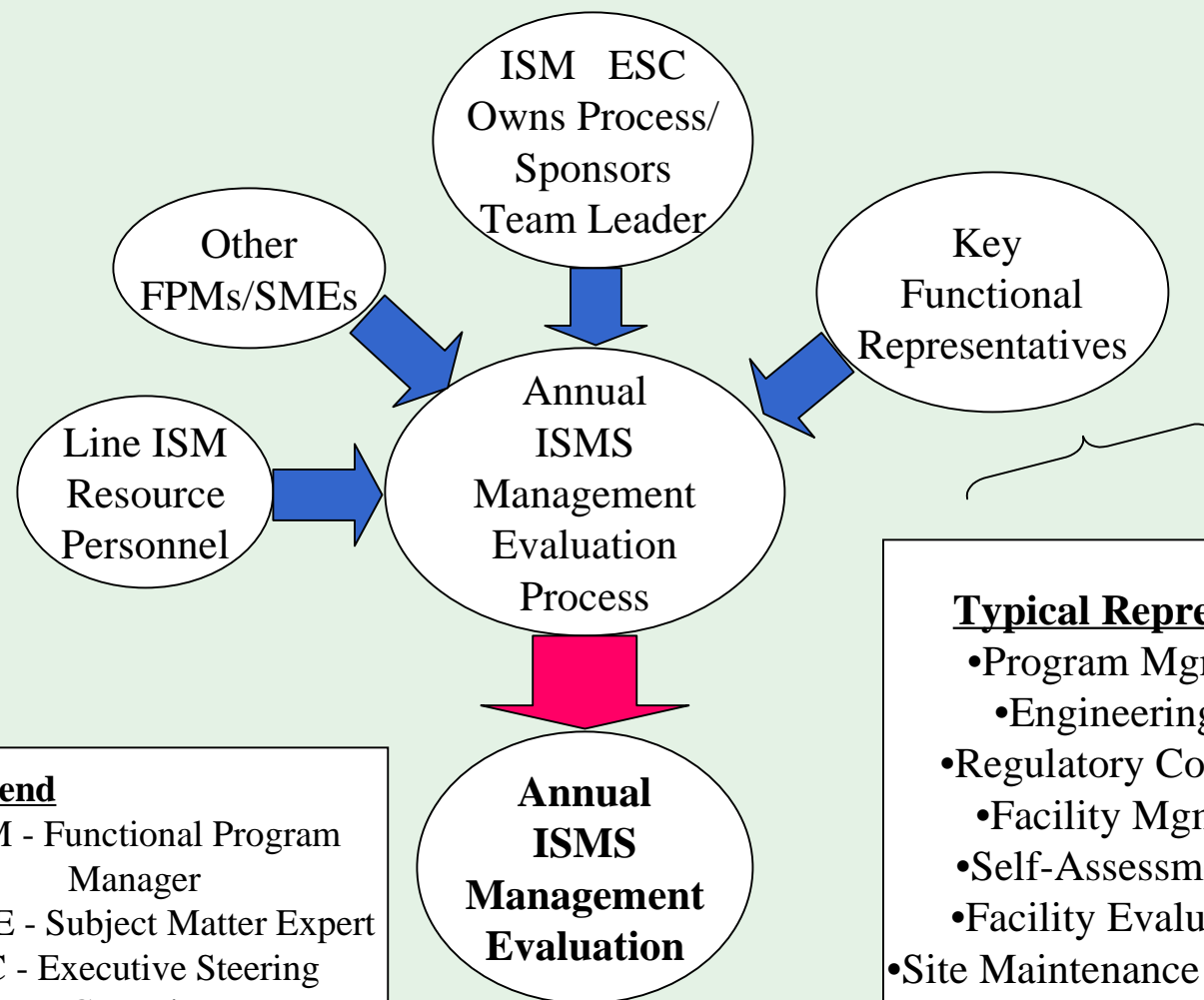


Recommendations

- Ownership
 - WSRC ISM Executive Steering Committee
- Sponsorship
 - Steering Committee Vice President
- Commitment
 - Staffing Team to prepare the Annual ISMS Management Evaluation



Proposed ME Team Participation



Legend

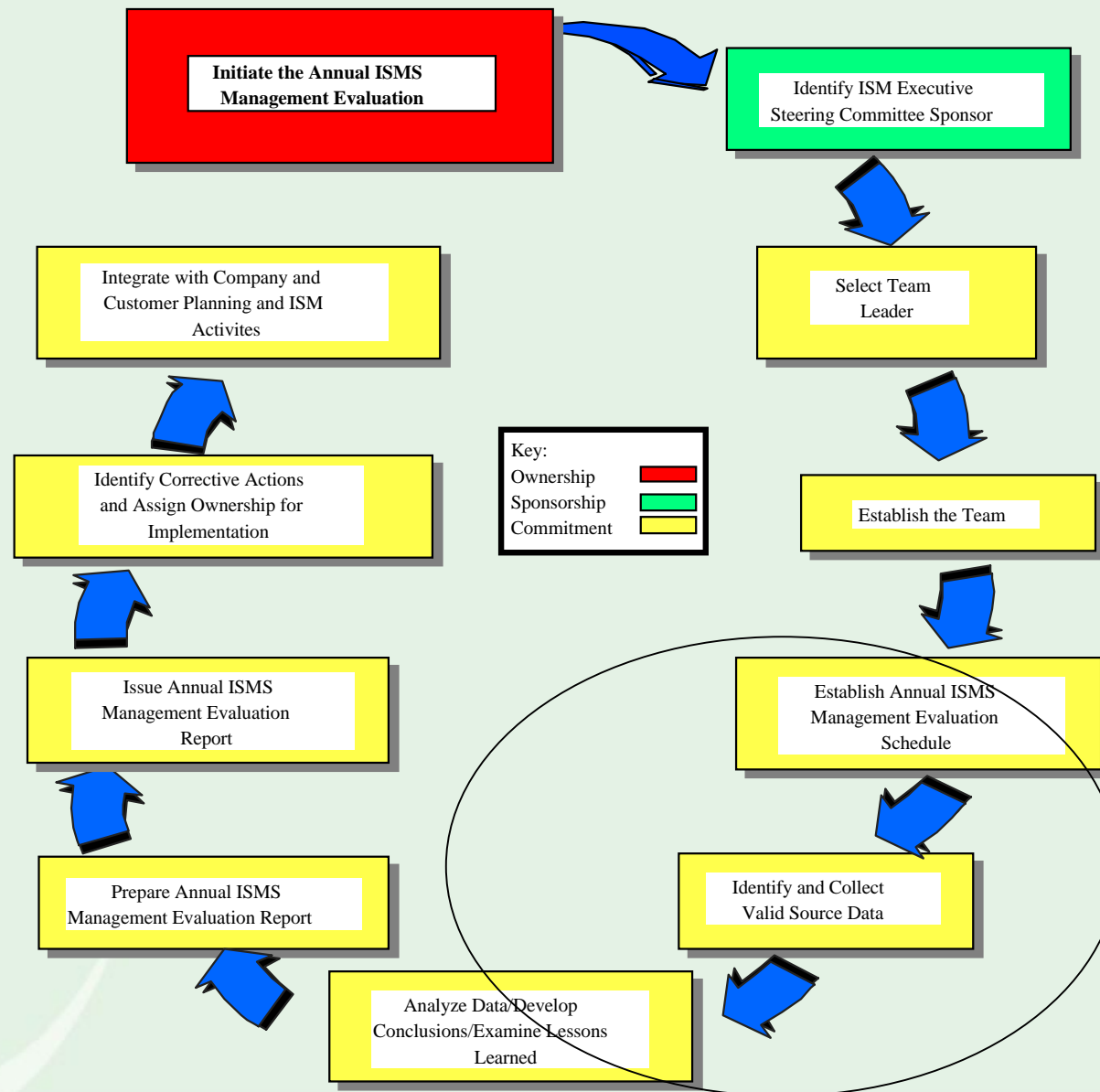
FPM - Functional Program Manager
SME - Subject Matter Expert
ESC - Executive Steering Committee

Typical Representatives:

- Program Mgmt Council
- Engineering Council
- Regulatory Compl Council
- Facility Mgmt Council
- Self-Assessment Council
- Facility Evaluation Board
- Site Maintenance Mgmt. Council

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Detailed Program Elements



Recommendations

■ Schedule

- The team should develop their own project schedule for the evaluation and take into consideration the site's annual business cycle

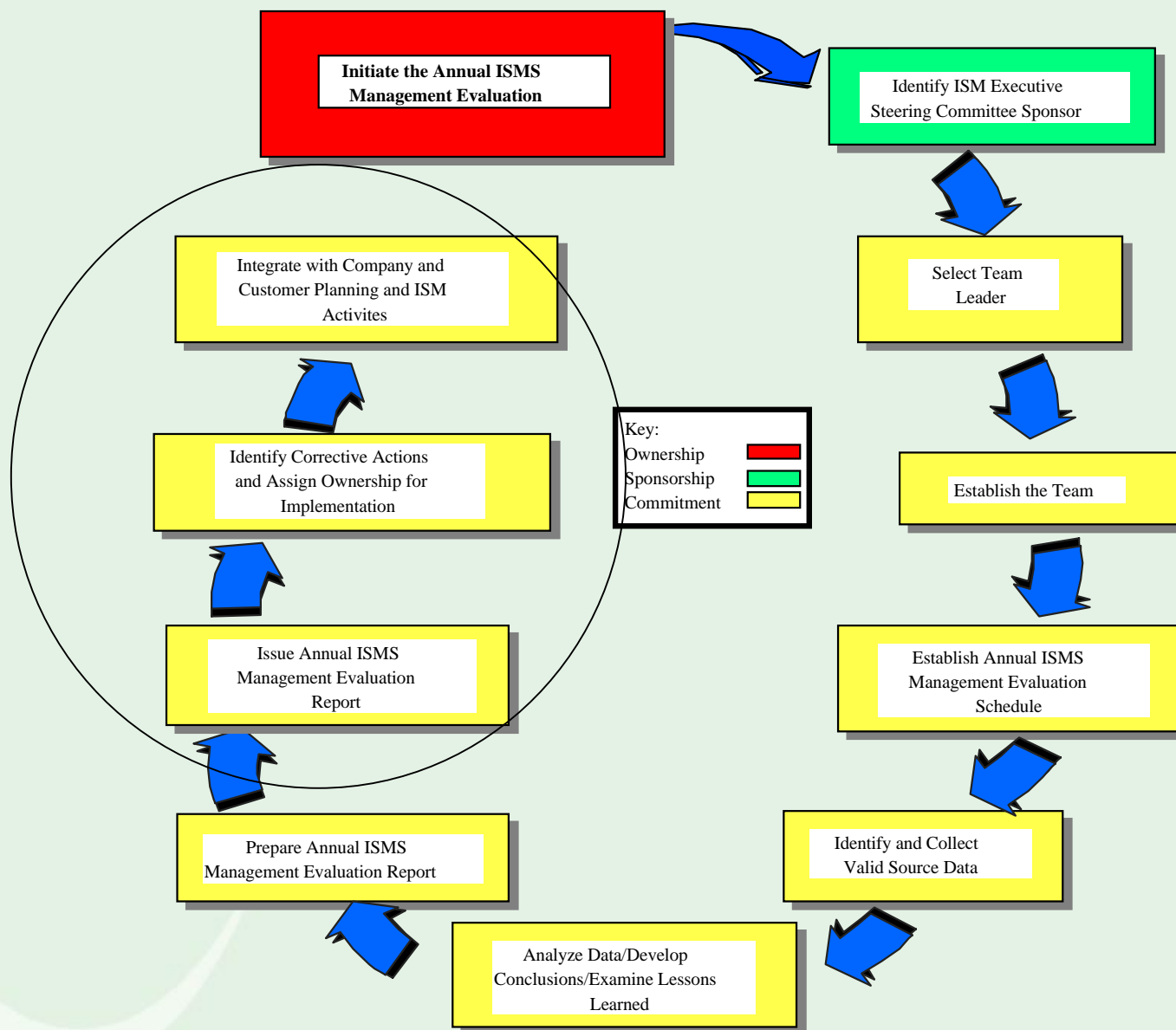
■ Data

- Data collected throughout the year is rolled up for analysis.
- Includes external assessments, ES&H oversight, Self-Assessments, PAAA, SIRIM, and Independent Facility Evaluation Board (FEB) Assessments.

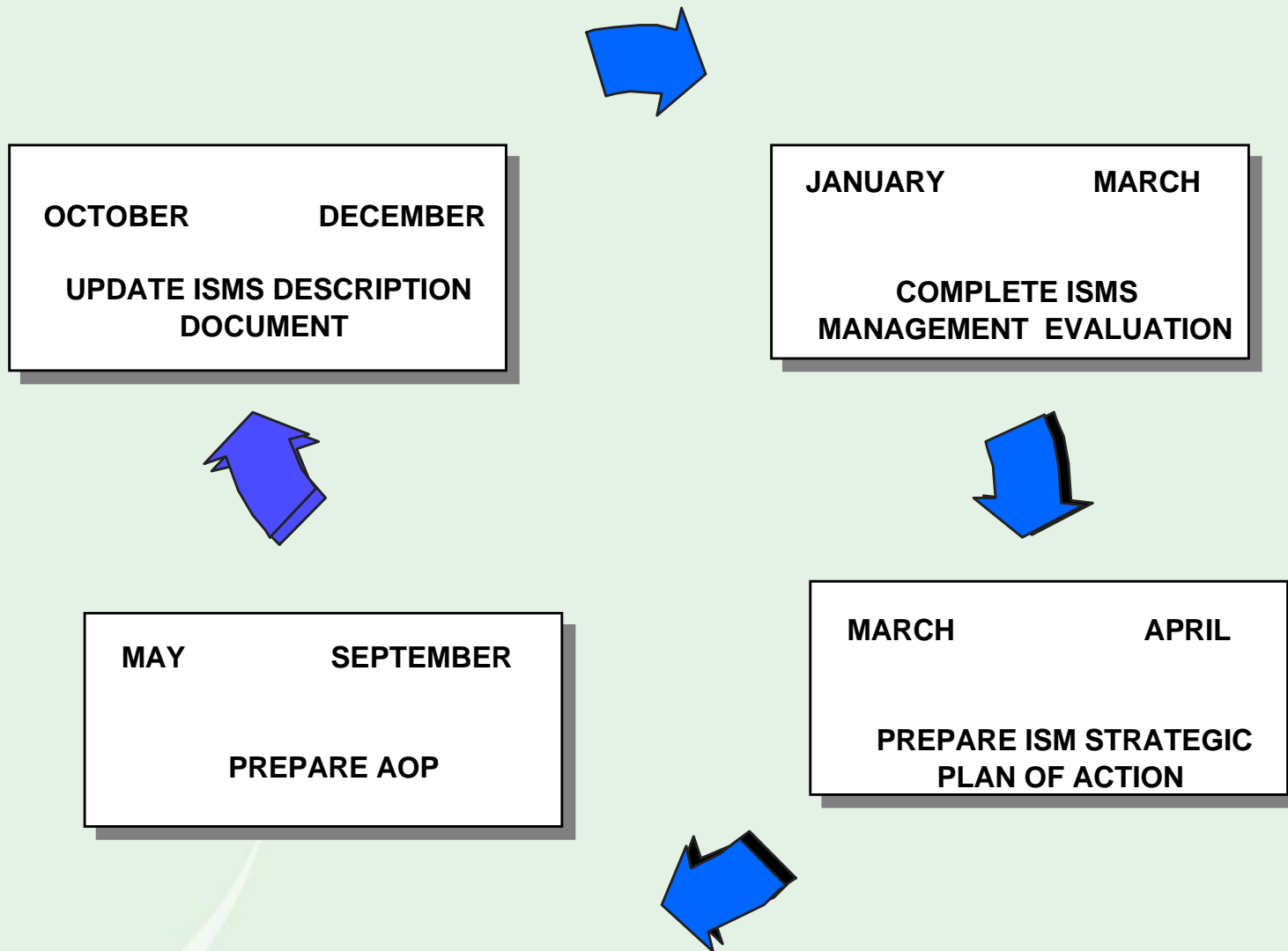
■ Methodology

- During analysis ME Team is looking for trends in existing data; no new data collected.
- Trending by higher level management leads to development of a strategic approach to planning for the coming year and integration with on-going ISM site activities.

Detailed Program Elements



Annual Strategic Planning Cycles



Path Forward

WSRC Pilot Schedule 2001

- | | |
|---|------------------|
| ■ Identify Committee Sponsor | December |
| ■ Identify Team Lead | 1st Week January |
| ■ Identify Team Members
and Functional Assignments | 2nd Week January |
| ■ Kick Off Meeting | 3rd Week January |
| ■ Draft Final Report | 1st Week March |
| ■ Peer/Sponsor Review | 2nd Week March |
| ■ Senior Management Review | 3rd Week March |
| ■ Issue Management Evaluation | 1st Week April |

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Summary

The process described provides the contractor and DOE the opportunity to step back and look at many sources of information to perform a high-level and thorough analysis of performance and implementation, and to determine exactly what needs to be updated.